IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : Not Yet Assigned PCT/EP2004/003962

First Named Inventor : Matthias SCHEFFZUEK

Filed : Herewith TC/A.U. : N/A

TC/A.U. : N/A Examiner : N/A

Docket No. : 095309.56961US

Customer No. : 23911

Title : Crash Structure for an Automotive Shell Structure

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R § § 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. <u>Time Period of Submission</u>

1)

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This Information Disclosure Statement is submitted:

| before the mailing date of the first Office Action on the merits (whichever is later), and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R.§ 1.17(p) i required. |
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| 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (<i>Ex parte Quayle</i>) (whichever is earlier), and therefore Applicant is filing concurrently herewith: |
| a Statement under 37 C.F.R. § 1.97(e); or |
| a fee in the amount of \$180,00 under 37 C.F.R. § 1,17(n) |

a Statement under 37 C.F.R. § 1.97(e); and

before payment of the Issue Fee, and therefore Applicant is submitting herewith:

Page 1 of 3

no later than three months from the application's filing date or 2)

after either a Final Office Action or a Notice of Allowance, but

a fee in the amount of \$180.00 under 37 C.F.R. \S 1.17(p).

| II. Statement Under 37 C.F.R. § 1.97(e) |
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| I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent officing a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or |
| I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. |
| III. Submission of Non-English Language Documents |
| ☐ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449: |
| The relevance of documents AF and AH to the subject matter of the present invention is provided in the specification of the above-identified application. |
| Corresponding foreign or international report(s) citing document(s AA, AD and AE, together with an English-language version(s) (if not already in English of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted. |
| Documents AB and AJ-AM are cited in the attached German Offic Action generated in connection with the German priority application. |
| English language family member publication(s) of document(s is/are noted on Form PTO-1449. |
| English language abstract(s) is/are submitted for document(s |
| English translation(s) of the foreign language document(s is/are submitted herewith. |
| Applicant submits the following explanations |

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| IV. <u>Continuations/Divisionals/PC</u> | T National Stage Applications |
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| | were previously submitted in an Information n parent application Serial No, from fit. |
| | nces listed on the attached form PTO-1449 have rnational Searching Authority, therefore, duplicate |
| such document constitutes prior a Applicant does not waive any righ | documents is not intended as an admission that any art against the claims of the present application. t to take any action that would be appropriate to sted document as a competent reference against the |
| • | ould be considered as an authorization to charge Docket No.: 095309.56956US, for the fee set forth in |
| November 14, 2005 | Respectfully submitted, Richard R. Diefendorf Registration No. 32,390 |
| CROWELL & MORING LLP Intellectual Property Group | \bigvee |

Intellectual Property Group P.O. Box 14300 Washington, DC 20044-4300 Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

RRD:aw dn#2668939v1

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| Substitute for form 1449A/PTO | | | | Complete if Known | | | | | |
| | | | | | | Application Number Not | | Not Y | ret[Assigned](PCT/EP20040000062) |
| INFORMATION DISCLOSURE | | | | | | Here | rewith 0 + J J 0 4 0 0 | | |
| STATEMENT BY APPLICANT | | | | First Named Inventor Ma | | Matti | latthias SCHEFFZUEK | | |
| | | | | | | Art Unit | | N/A | |
| | (use a | s many sheets as nec | essar | ν) | | Examiner | Examiner Name N/A | | |
| Sheet | | | 1 | Attorney Docket Number | | 0953 | 095309.56961US | | |
| | | | _ | | U.S. P | ATENT D | OCUMENTS | | |
| Examiner Cite Document Number Initials' No.1 | | | Publicat | tion Date | Name of Patentee | or | Pages, Columns, Lines, Where | | |
| | | MM-DD-YYYY | | Applicant of Cited | t l | Relevant Passages or Relevant | | | |
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| | AC | | | | | | | | |

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| NON PATENT LITERATURE DOCUMENTS | | | | | | |
|--|----|-----------------------------|--|--|--|--|
| Examiner Initials* Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | | |
| | AN | International Search Report | | | | |
| | AO | German Office Action | | | | |
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| Examiner | /Time a three 18 fills as loan / | Date | 09/15/2008 |
|-----------|----------------------------------|------------|------------|
| Signature | /Timothy Wilhelm/ | Considered | 09/15/2008 |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.